

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Daniel L. HOLGUIN et al.      Docket No.: AVP.P2418 A3  
Serial No: 10/799,500      Examiner: not assigned yet  
Filed: March 12, 2004      Group Art Unit: 1713  
Title: POLYMER POWDERS, PRESSURE SENSITIVE ADHESIVES AND  
METHODS OF MAKING THE SAME  
**MAIL STOP MISSING PARTS**  
**COMMISSIONER FOR PATENTS**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
BEFORE A FIRST OFFICE ACTION ON THE MERITS  
(37 C.F.R. §1.97(b)(3))**

Dear Sir:

Pursuant to 37 CFR §1.97 encouraging the filing of an Information Disclosure Statement, Applicants submit this paper in compliance with their duty of disclosure as set forth in 37 C.F.R. §1.56.

Applicants wish to introduce art having some relevance to the present application, which has been listed on attached Forms PTO/SB/08A and PTO/SB/08B. These forms include thirty-six (36) United States patent documents, six (6) foreign patent documents, and four (4) non-patent literature items. No representation is made that a specific search has been made by Applicants, that the information is material to the claimed subject matter, or that the information represents the only or the best information.

Applicants do not admit that any of the information provided is necessarily prior to their invention, but rather it is information of which Applicants are aware and believe should be provided to the Office in fulfillment of the duty of disclosure. Any question that may arise regarding the priority of a specific document shall be resolved during prosecution.

**Certificate of Mailing**

I hereby certify that this correspondence is being deposited on the date shown below with the United States Postal Service as first class mail in an envelope with sufficient postage addressed to: MAIL STOP MISSING PARTS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Laura A. Rafn  
(type or print name of person signing paper)

Laura A. Rafn  
(signature of person mailing paper)

8-23-04  
(date)

The information provided is in the English language. The referenced art is being cited for the express purpose of providing the Patent and Trademark Office with the opportunity to make an evaluation and to arrive at an independent assessment of materiality of each document, if any, to the examination of this application.

#### **United States Patent Documents**

The USPTO has waived the requirement under 37 C.F.R. §1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. patent applications filed after June 30, 2003, and for all international applications that have entered the national stage under 35 U.S.C. §371 after June 30, 2003. 1273 TMOG 55 (August 5, 2003). Therefore, Applicants have not included copies of any granted United States patents or pending United States patent application publications cited on Form PTO/SB/08A.

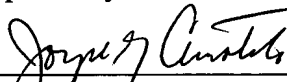
#### **Foreign Patent Documents and Non-patent Documents**

37 C.F.R. §1.98(d) provides that “a copy of any patent, publication, pending United States application or other information, as specified in paragraph (a) of this section, listed in an information disclosure statement is required to be provided, even if the patent, publication, pending United States application or other information was previously submitted to or cited by the Office in an earlier application, unless: (1) the earlier application is properly identified in the information disclosure statement and is relied on for an earlier effective filing date under 35 U.S.C. §120 and; (2) the information disclosure statement submitted in the earlier application complies with paragraph (a) through (c) of this section.” This application relies on United States Serial No. 10/133,808, filed April 26, 2002, United States Serial No. 09/757,980, filed January 10, 2001, and United States Serial No. 09/540,252, filed March 31, 2000 for an earlier effective filing date under 35 U.S.C. §120. The presently cited six (6) foreign patent documents and four (4) non-patent documents were previously submitted to the United States Patent and Trademark Office by Applicants during the prosecution of these prior copending applications. As these references can be found in the file wrappers of the prior applications, copies of the references are not submitted herewith.

**IDENTIFICATION OF TIME OF FILING THE INFORMATION  
DISCLOSURE STATEMENT**

The Information Disclosure Statement is being submitted before a first office action on the merits of the application. No fee is due with the filing of this paper. 37 C.F.R. §1.97(b)(3).

Respectfully submitted,



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8-23-2004

Date

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**AUG 25 2004**

**SOLOSURE**

**APPLICANT**

U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner  
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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
Application Number		10/799,500			
Filing Date		March 12, 2004			
First Named Inventor		Daniel L. HOLGUIN			
Group Art Unit		1713			
Examiner Name		not assigned yet			
Attorney Docket Number		AVP.P2418 A3			
Sheet	1	of	1		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Polymer International, vol. 36, no. 4, p. 303-308 (April 1995).	
		Chang, et al. "Avery Adhesive Test, AAT," Adhesive Age, vol. 40, no. 10, p. 18-23 (1997).	
		E.P. Chang, "Visoelastic Windows of Pressure-Sensitive Adhesives," J. Adhesion, vol. 34, p. 189-200 (1991).	
		E.P. Chang, "Visoelastic Properties of Pressure-Sensitive Adhesives," J. Adhesion, p. 233-248 (1997).	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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